# Investigation of the effect of shot blasting on the surface properties of the HA coatings processed by the EPD method

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#### Abstract

316L stainless steel implant material surfaces shot blasted with glass beads underwent hydroxyapatite (HA) coating process by electrophoretic deposition method (EPD). The mechanical and metallographic test results of HA coatings applied to the shot blasted and sanded substrates have been discussed. The sanded process was carried out with 320 Grit SiC sandpaper. Shot blasted process was carried out in a vacuum shot blasting machine at 5 bar pressure with glass beads. Ethanol was used as a solvent during the coating process. The coating solution was prepared by mixing ethanol, HA, PVA, and N, N-Dimethylformamide chemicals in specific ratios to have a steady suspension. Regarding tests conducted on HA coatings, it was revealed that shot blasted surfaces had better results when compared to those of sanded surfaces. Hopefully, this new process for coatings will be a new impression for future studies.

Key words: electrophoretic deposition, hydroxyapatite, shot blasting, coating

# 1. Introduction

Biomaterials are natural or synthetic materials used to replace organs or tissues that have lost their role in the human body. These materials are classified into four groups: metals, polymers, ceramics, and composites [1, 2].

Metallic biomaterials such as Ti and its alloys, Co-Cr alloys, and 316L stainless steels are used in most dental and orthopedic applications because of their high biocompatibility and mechanical properties. 316L stainless steels are commonly used for easy machinability, high mechanical properties, and low cost [3].

In the human body, ions such as protein, water, and chloride create a corrosive environment for metal implant materials [4]. Implants used in the body for a long time can be affected by this corrosive environment. This effect creates the possibility of the release of ions in the structure of the metal into the body. Ions such as Cr and Ni in the structure of the 316L implant have carcinogenic and allergenic effects [3]. Therefore, direct application of metal implants such as 316L is not widely accepted. rials with high biocompatibility and bioactivity, which is the main inorganic component of human bones and teeth [5–9]. HA is preferred in implantation applications because of its osteoconductive and biocompatibility properties [9–13]. However, due to its low mechanical properties, it cannot be directly used as an implant material [2, 9, 14–16].

Since the direct application of metallic biomaterials is not supported in implantation applications, it is preferable to use HA coatings with high biocompatibility and bioactivity [5, 17, 18]. With this application, a superior biomaterial is obtained by combining high bioactivity and biocompatibility with excellent mechanical properties. HA cuts the contact of metal ions with the body and prevents the release of ions into the body [2, 5, 19]. Also, the osteoconductive feature of HA enables implants to adhere tightly to the bone [20–22]. HA is the most widely used bioactive substance, supporting bone growth [2, 14, 23, 24].

There are several methods for the coating of metal implant material. These methods are as follows: plasma spray coating, spray coating, electrochemical deposition, electrophoretic deposition (EPD), dip coating, thermal spray coating, sol-gel, and

HA is one of the calcium phosphate-based mate-

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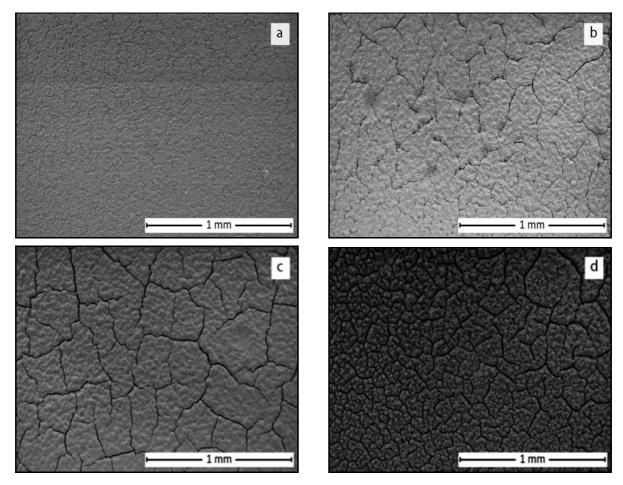


Fig. 1.  $100 \times$  SEM images of the sanded substrate after coating: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

biomimetic method [1, 2, 25–32]. EPD has been an attractive method for hydroxyapatite coating processes in recent years [32, 33]. EPD is a method that begins with the movement of charged particles in a stable suspension under the influence of an electrical field to the opposite loaded metal implant material and is completed by the accumulation of charged particles on the implant material surface [32–35]. The main reasons why EPD is attractive are its characteristics such as the simple method, the low cost of the equipment, the ability to coat materials in complex geometries, to obtain homogeneous coatings, and to control the microstructure of the coating with a simple adjustment [32–37].

The surface preparation of the metal implant before the coating process is one of the important factors that determine the coating quality. To obtain a strong HA-implant coating, dirtiness on the metal implant surface must be cleaned, and appropriate surface roughness on the implant material surface must be provided [38].

This study aimed to examine the effect of shot blasting applied on the surface of the implant material before coating on the surface and the mechanical properties of the coating.

### 2. Materials and methods

# 2.1. Implant material selection and preparation of implants

316L stainless steel was used as a substrate. The 316L stainless steel substrate was cut in ø  $20 \times 10 \text{ mm}^2$ . Some of the cut substrates were sanded with 320 SiC sandpaper. Some substrates were shot blasted with glass beads under 5 bar pressure in a vacuum shot blasting machine. The main purpose of shot blasting and sanding is to roughen the surface of the substrate and to clean the dirt on the surface. In the first stage, the substrate was cleaned in detergent water. Later, it was washed in an ultrasonic bath with distilled water for 30 min. After that, it was washed in an ultrasonic bath for 30 min in ethyl alcohol, rinsed with distilled water, and dried. To clean the oxide layers on the substrate surface, a solution was prepared by adding 2 ml of HF and 3 ml of HNO<sub>3</sub> to 100 mlof distilled water. In this solution, the substrates were kept for 2 min. At the last stage, they were kept in distilled water for 30 min in an ultrasonic bath. The cleaned substrates were dried in the oven and made ready for the coating process.

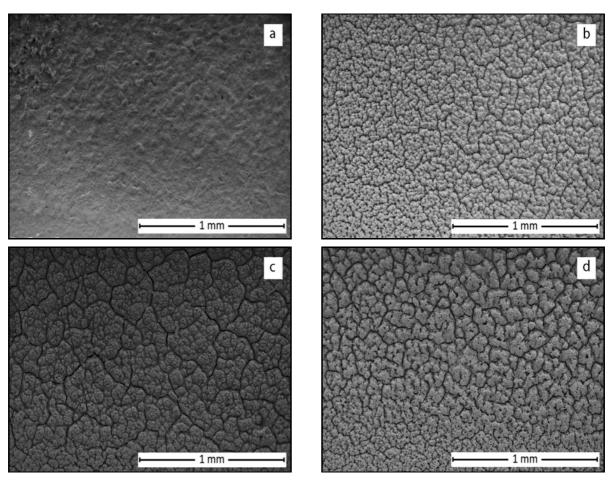


Fig. 2.  $100 \times$  SEM images of shot blasted substrate after coating: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

# 2.2. The preparation of the coating

Ethanol of 99.8 percent purity was used as a solvent to prepare the coating suspension in the electrophoretic deposition process. 1 g of HA (NanoTech), 1 g of PVA (Merck, 72000), and 10 mL of N, N-Dimethylformamide (Sigma-Aldrich) were added to 100 mL of ethanol and mixed in a magnetic stirrer for 30 min to disperse the HA particles homogeneously. The mixing was done in a teflon beaker. To increase the adhesion strength of HA particles, PVA and N, N-Dimethylformamide was added to the suspension [39]. To ensure the stability of the prepared suspension, the pH was adjusted to 4 with the addition of HNO<sub>3</sub> and NaOH. The substrates used in the coating process were arranged so that their surfaces faced each other. The distance between the substrates was fixed at 10 mm. Prepared substrates were immersed in a stable suspension and connected to the DC power source (Bio RAD Powerpac Basic). The coating process was carried out in 90 s of deposition time and 60, 120, 180, and 240 V voltage values.

## 3. Results

# 3.1. SEM-EDX results

The microstructure analysis of the coated substrate was performed using the QUANTA 250 FEG model scanning electron microscope. Figures 1 and 2 show  $100 \times$  scaled SEM images of coatings obtained at 60, 120, 180, and 240 V voltage values and 90 s accumulation time on sanded and shot blasted substrate. When Figs. 1 and 2 are examined, it is clear that HA coating covers the surface of the substrate in a homogeneous and intense manner. This shows that the coatings are successfully realized in all parameters. Furthermore, it is understood that the increased amount of applied voltage causes the coating to form a more cracked structure.

The elemental components of the coated substrate structures were determined using an EDX detector on the QUANTA 250 FEG model scanning electron microscope. The EDS results for different coating parameters are given in Figs. 3 and 4. According to the EDS analysis results, the weight percentages and Ca/P ratios of the atomic structures of the calcium and phos-

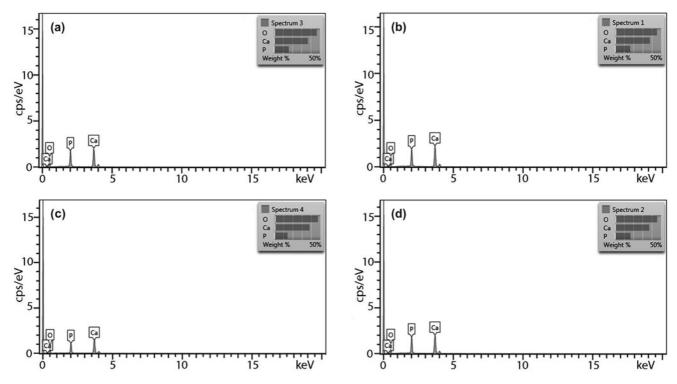


Fig. 3. EDS analysis results of coating surfaces formed on sanded substrate: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

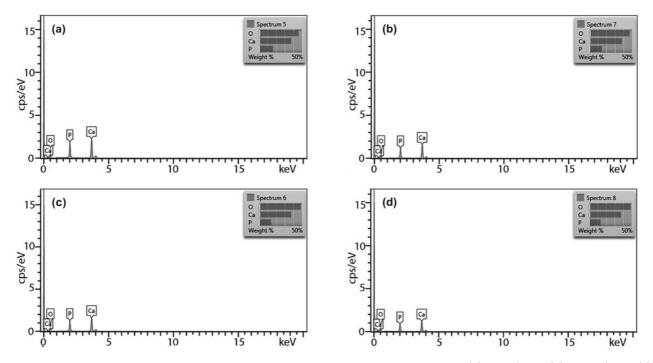


Fig. 4. EDS analysis results of coating surfaces formed on shot blasted substrate: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

phate elements formed on the surfaces of the substrate of all parameters are given in Table 1. When the results of the EDS analysis are examined, it can be seen that the calcium phosphate structure is obtained in all the coatings parameters.

# 3.2. XRD results

To determine which phases the coated substrate contained and the concentrations of these phases, a PHILIPS X'PERT PRO X-radiation diffraction device

Table 1. Atomic weight ratios and Ca/P ratios of calcium and phosphate elements forming on coating surfaces for all coating parameters [40]

Coating parameters	%Ca ratio	% P ratio	Ca/P ratio
Sanded substrate $-$ 60 V/90 s	21.44	12.54	1.71
Sanded substrate $-120 \text{ V}/90 \text{ s}$	21.17	12.07	1.75
Sanded substrate $-180 \text{ V}/90 \text{ s}$	21.82	12.33	1.77
Sanded substrate $-240 \text{ V}/90 \text{ s}$	21.80	10.54	2.06
Shot blasted substrate $-60 \text{ V}/90 \text{ s}$	21.84	12.01	1.82
Shot blasted substrate $-120 \text{ V}/90 \text{ s}$	21.75	10.86	2.00
Shot blasted substrate $-180 \text{ V/}90 \text{ s}$	21.14	10.21	2.07
Shot blasted substrate $-240 \text{ V/90 s}$	21.13	9.98	2.11

Table 2. Coating thickness results for all parameters [40]

Coating parameters	Coating thickness $(\mu m)$	
Sanded substrate $-$ 60V/90 s	$3.83\pm0.146$	
Sanded substrate $-120V/90$ s	$4.91 \pm 0.184$	
Sanded substrate $-180V/90$ s	$5.23 \pm 0.339$	
Shot blasted substrate $-240V/90$ s	$6.86 \pm 0.473$	
Shot blasted substrate $-60V/90$ s	$3.88\pm0.158$	
Shot blasted substrate $-120V/90$ s	$5.01\pm0.226$	
Shot blasted substrate $-180V/90$ s	$5.85\pm0.273$	
Shot blasted substrate $-240 \text{V}/90 \text{ s}$	$7.12\pm0.554$	

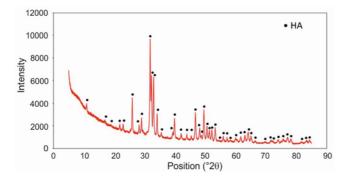


Fig. 5. XRD result [40].

was used. Figure 5 shows the results derived from the XRD analysis. The XRD analysis was performed by scraping HA powder off the substrate surface. According to the test results, the apatite crystals occurred at peak points of 25.97°, 29.03°, 31.14°, 31.28°, 31.86°, 33.00°, 39.88°, 46.74°, 49.52°, and 53.24°.

#### 3.3. Coating thickness results

The thickness of the HA coatings was evaluated in terms of  $\mu$ m using an ElektroPhysik MiniTest 730/Sensor FN 1.5 HD trademark device. The averages of the results were taken by performing evaluations on each coating surface five times. The average values of the coating thicknesses gained from the evaluation results are given in Table 2. When examining Table 2, it is seen that the coated thickness values of the shot blasted substrate are higher than those of the sanded substrate. In addition, it is seen that the coated thickness increases with the increase in the amount of applied voltage.

#### 3.4. Surface roughness results

For the determination of the surface roughness values of the substrate gained by the result of the coatings, Roughness Tester (PCE-RT 1200) device was used. The averages of the results were taken by performing evaluations on each coating surface five times. The average roughness values gained by the evaluation results are given in Table 3.

#### 3.5. Vickers indentation test results

The microhardness and elasticity modulus of HA coating were determined with the help of nanoindentation tests. In the indentation process carried out under a 5 mN load, the Berkovich tip type was used. At least 3 measurements were made for each substrate, and microhardness and elasticity modulus values were calculated by taking the average of these measurement results. The test results are shown in Table 4.

#### 3.6. Scratch test results

Scratch test equipment was used in the Middle East University Technical Research & Development

Coating parameters	Surface roughness $(\mu m)$	
Sanded substrate $-60 \text{ V}/90 \text{ s}$	$0.971 \pm 0.111$	
Sanded substrate $-120 \text{ V}/90 \text{ s}$	$1.396\pm0.128$	
Sanded substrate $-180 \text{ V/}90 \text{ s}$	$1.715\pm0.150$	
Sanded substrate $-240 \text{ V}/90 \text{ s}$	$2.113 \pm 0.187$	
Shot blasted substrate $-60 \text{ V}/90 \text{ s}$	$1.127 \pm 0.115$	
Shot blasted substrate $-120 \text{ V}/90 \text{ s}$	$1.412\pm0.139$	
Shot blasted substrate $-180 \text{ V}/90 \text{ s}$	$1.801 \pm 0.160$	
Shot blasted substrate $-240 \text{ V}/90 \text{ s}$	$2.385 \pm 0.173$	

Table 3. Surface roughness results for all parameters [40]

Table 4. Vickers hardness and elasticity modulus values for all coating parameters [40]

Coating parameters	Vickers hardness (MPa)	Elasticity modulus (GPa)
Sanded substrate $-60 \text{ V}/90 \text{ s}$	231.134	34.166
Sanded substrate $-120 \text{ V}/90 \text{ s}$	206.219	29.837
Sanded substrate $-180 \text{ V}/90 \text{ s}$	120.207	18.148
Sanded substrate $-240 \text{ V}/90 \text{ s}$	68.510	7.551
Shot blasted substrate $-60 \text{ V}/90 \text{ s}$	208.429	29.926
Shot blasted substrate $-120 \text{ V}/90 \text{ s}$	158.056	16.691
Shot blasted substrate $-180 \text{ V}/90 \text{ s}$	110.873	14.897
Shot blasted substrate $-240$ V/90 s	34.620	6.455

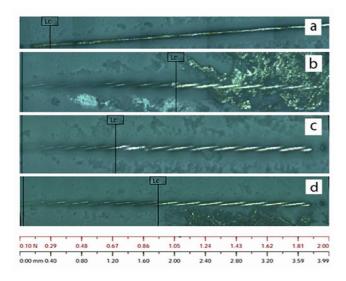


Fig. 6. Optical microscope images of the scratch test results of coatings on sanded substrate: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

Training and Measurement Center to measure the bonding strength of the layering on the surface. The load range was set at 0.1-2 N, scratch length was 4 mm, and scratch speed was  $1.5 \text{ mm min}^{-1}$ . Under these conditions, all experiments were performed. Figures 6 and 7 show optical microscope scratch pictures derived from the experiment. Table 5 shows the critical load values after loading applied up to 2 N to the surface of the sanded substrate. When Fig. 7 is exam-

Table 5. Critical load values for all parameters of coatings on a sanded substrate [40]

Coating parameters	Critical load (N)
$ \begin{array}{l} {\rm Sanded\ substrate\ -\ 60\ V/90\ s} \\ {\rm Sanded\ substrate\ -\ 120\ V/90\ s} \\ {\rm Sanded\ substrate\ -\ 180\ V/90\ s} \\ {\rm Sanded\ substrate\ -\ 240\ V/90\ s} \end{array} $	$0.65 \\ 1.03 \\ 0.69 \\ 0.955$

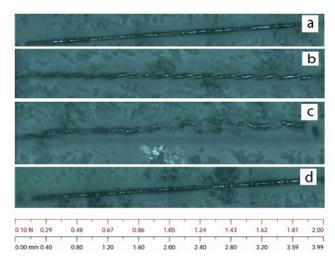


Fig. 7. Optical microscope images of the scratch test results of coatings on shot blasted substrate: (a) 60 V/90 s, (b) 120 V/90 s, (c) 180 V/90 s, and (d) 240 V/90 s [40].

ined, it is seen that the substrate cannot be entirely reached after the applied loading up to 2 N. The critical load value is, therefore, > 2.

#### 4. Discussion

Drevet et al. [34] observed the Ca/P ratio of 1.66 in the HA coatings made on Ti6Al4V implants by the EPD method at 10V voltage and 10 min of deposition time. Aydin et al. [2] observed the Ca/P ratios of 1.61, 1.65, 1.72, and 1.73 in the HA coatings made on Ti6Al7Nb implants by the EPD method. Aydin et al. [41] observed the Ca/P ratios as 1.59, 1.63, 1.66, and 1.72 in the HA coatings obtained by the EPD method with different parameters on AZ91 magnesium alloy implants. The ideal Ca/P ratio was determined in the literature for HA covers at 1.67 [42]. Table 1 provides the results of the Ca/P values calculated in the study. Values close to the ideal Ca/P ratio are seen in all parameters.

Aydin et al. [2] observed HA crystals at peak points of  $2\theta = 26.0078^{\circ}$ ,  $28.1945^{\circ}$ ,  $32.2494^{\circ}$ ,  $34.1778^{\circ}$ , 39.9130°, 48.1571°, and 50.5511° in the XRD result of the HA coatings which they had created on the surface of T6Al7Nb alloy by EPD method. Kumar et al. [23] observed HA crystals at peak points of  $2\theta = 26.06^{\circ}$ ,  $31.62^{\circ}$  in XRD result of the HA coatings created on the surface of Mg-3Zn alloy by the EPD method. Iqbal et al. [8] observed HA crystals at peak points of 25.91°, 28.94°, 31.78°, 32.19°,  $32.93^{\circ}$ ,  $34.10^{\circ}$ ,  $39.80^{\circ}$ ,  $46.71^{\circ}$ , and  $49.49^{\circ}$  in XRD result of the HA coatings which they created on the surface of 316L stainless steel by EPD method. Aydin et al. [41] observed HA crystals at peak points of  $2\theta = 25.7182^{\circ}, 28.7945^{\circ}, 31.6402^{\circ}, 32.0520^{\circ}, 32.6648^{\circ},$  $32.7802^{\circ}$ ,  $33.8369^{\circ}$ ,  $33.926^{\circ}$ ,  $39.6934^{\circ}$ ,  $46.2985^{\circ}$ ,  $49.3822^{\circ}$ , and  $70.7743^{\circ}$  in XRD result of the HA coatings which they created on the surface of AZ91 magnesium alloy by EPD method. In this study, HA crystals were observed at peaks of  $25.97^{\circ}$ ,  $29.03^{\circ}$ ,  $31.14^{\circ}$ ,  $31.28^{\circ}$ ,  $31.86^{\circ}$ ,  $33.00^{\circ}$ ,  $39.88^{\circ}$ ,  $46.74^{\circ}$ ,  $49.52^{\circ}$ , and 53.24°. This showed that HA powders preserved their structure during electrophoretic deposition, as in the literature.

Aydin et al. [2] determined the coating thickness as 4.38, 5.43, 7.60, and 9.42  $\mu$ m, respectively, on the HA coatings, which they performed on Ti6Al7Nb alloy. Aydin et al. [41] determined the coating thickness as 5.86, 7.73, 9.72, and 12.11  $\mu$ m on the HA coatings, which they performed on AZ91 magnesium alloy. Kwok et al. [19] determined the coating thickness as approximately 10  $\mu$ m in the HA coatings, which they performed on Ti6Al4V alloy. Bartmanski et al. [43] determined the coating thickness as approximately 29.35  $\mu$ m in the HA coatings, which they performed on Ti13Zr13Nb alloy. Dudek et al. [28] determined the coating thickness as 2.6, 2.8, and  $4.2 \,\mu\text{m}$  in the HA coatings, which they performed on NiTi shape memory alloy.

The increased voltage in the EPD process caused coarser particles to accumulate. Increasing the number of coarse particles on the substrate surface increased the surface roughness value [5]. Wennenberg [44] determined the optimal surface roughness as  $1-1.5 \,\mu\text{m}$ in its study of implant materials with varying surface roughness. When examining the results of surface ruggedness values specified in Table 3, successful results are seen. After the shot blasting process, the surface roughness value of the substrate material was measured as  $2.66 \,\mu\text{m}$ , and the surface roughness value of the substrate material after the sanding process was  $0.34 \,\mu\text{m}$ . Increasing the surface roughness of the implants will increase the placement of HA particles in the apertures formed on the implant surface; it can provide a strong attachment between the implant and HA particles [45].

Aydin et al. [2] observed the surface roughness values as 0.818, 1.055, 1.552, and 1.673  $\mu$ m on the HA coatings, which they performed on Ti6Al7Nb alloy. Aydin et al. [41] observed the surface roughness values as 1.18, 1.95, 2.26, and 2.83  $\mu$ m on the HA coatings, which they performed on AZ91 magnesium alloy. Bartmanski et al. [43] observed the surface roughness values as 1.26  $\mu$ m on the HA coatings, which they performed on Ti13Zr13Nb alloy. Javidi et al. [5] observed the surface roughness values as 1.8  $\mu$ m on the HA coatings, which they performed on 316L stainless steel alloy.

The elasticity modulus and hardness values of metal materials used in implantation processes are high. However, these values are low in human bone. In the HA coatings performed in this study, properties similar to the mechanical properties of the bone were obtained. There are differences in the mechanical properties of the bones in different parts of the human body. For example, the modulus of elasticity is 0.001-0.01 GPa in joint cartilage, 0.05-0.5 GPa in cancellous bone, 1 GPa in tendon bone, and 7–30 GPa in shell bone [4]. It has been observed that the mechanical properties obtained in all covering parameters are applicable to shell bone implants. In addition, coatings with poor mechanical properties can be quickly dissolved in the human body. Therefore, mechanical properties are an important parameter for the applicability of implants. The fact that the mechanical properties of the coatings are similar to the bone eliminates the stiffness incompatibility between the bone and the implant.

Drevet et al. [34] observed that the hardness value was 5.4-153.5 MPa and the elasticity modulus value was 5.2-19 GPa in HA coatings which they performed on Ti6Al4V alloy. Bartmanski et al. [43] observed that the hardness value was 0.0112-0.1349 GPa and the

elasticity modulus value was between 1.25–30.31 GPa in HA coatings which they performed on Ti13Zr13Nb.

On the optical microscope images obtained as a result of the scratch tests of HA coatings in Figs. 6 and 7, it is seen that the adhesion strength of the HA coatings applied to the substrate shot blasted is higher than that of the HA coatings applied to the sanded substrate. This shows the effectiveness of the shot blasting process before coating on the adhesion of the coating. Bartmanski et al. [43] determined the critical load values as 29.15–92.48 mN on the HA coatings, which they performed on Ti6Al7Nb alloy. Drevet et al. [34] determined the critical load value as 3.3 N on the HA coating, which they performed on Ti6Al7Nb alloy. Kumar et al. [23] determined the critical load values as 0.691–1.32 N on the HA coatings, which they performed on Mg-3Zn alloy.

### 5. Conclusions

In this study, HA coating process by the EPD method was performed on 316L stainless steel implants whose surface was sanded and shot blasted. The mechanical and metallographic results obtained after the study were evaluated.

When the SEM images of the HA coatings created as a result of the study are examined, it is seen that there are homogeneous coatings that intensely surround the implant material in all parameters. Also, it has been observed as the applied voltage increases, the cracks on the surface increase.

When the EDS analysis results are examined, it is observed that the calcium phosphate structure was formed in all parameters. The ideal Ca/P ratio of HA coatings in the literature has been determined as 1.67 [42]. When the Ca/P values calculated in the study are examined, it is seen that there are values close to the ideal Ca/P ratio in all parameters.

When the results for surface roughness are examined, the surface roughness of coating is shown to increase with the increase in the voltage value. The surface roughness values of the sanded substrate applied coatings were between  $0.971-2.113 \,\mu\text{m}$ , and the surface roughness values of the coatings on shot blasted substrates were obtained between  $1.127-2.385 \,\mu\text{m}$ . The surface roughness values of HA coating on shotblasted substrates are higher. The ideal surface roughness was measured between  $1-1.5 \,\mu\text{m}$  [44]. Coatings with ideal surface roughness value are obtained in 90 s accumulation time at 60 and 120 V voltage applied to shot blasted and sanded substrate.

When the coating thickness results are examined, it is seen that the coating thickness increases as the voltage value increases. The coating thickness of the sanded substrate applied coatings was between 3.83–  $6.86 \mu$ m, and the coating thickness of the shot blasted substrate applied coatings was between  $3.88-7.12 \,\mu\text{m}$ . When the results are examined, the coating thickness of HA coating applied to the shot blasted substrate is seen to be of higher value.

When the indentation test results are examined, the hardness and elasticity modulus for the coatings decrease when the voltage value increases. The hardness values of the HA coatings on sanded substrates are between 231.134–68.510 MPa and the elastic modulus values are between 34.166–7.551 GPa. The hardness values of the HA coatings on shot blasted substrates are between 208.429—34.620 MPa and the elastic modulus values are between 29.926–6.455 GPa. Elasticity modulus value is 0.001–0.01 GPa in joint cartilage, 0.05–0.5 GPa in cancellous bone, 1 GPa in tendon bone, and 7–30 GPa in shell bone [4]. It has been observed that HA coatings obtained in all parameters can become applicable to shell bone implants.

When the optical microscope images obtained from the scratch tests of HA coatings applied on the shot blasted and sanded substrates are compared, it has been determined that the adhesion strength of the HA coatings applied to the shot blasted substrate is higher than that of the HA coatings applied to the sanded substrate. This demonstrated the effectiveness of the shot blasting process before coating on the adhesion of the coating.

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